



# Genome-Wide Association Identifies Regulatory Loci Associated with Distinct Local Histogram Emphysema Patterns

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## Abstract

**Rationale:** Emphysema is a heritable trait that occurs in smokers with and without chronic obstructive pulmonary disease. Emphysema occurs in distinct pathologic patterns, but the genetic determinants of these patterns are unknown.

**Objectives:** To identify genetic loci associated with distinct patterns of emphysema in smokers and investigate the regulatory function of these loci.

**Methods:** Quantitative measures of distinct emphysema patterns were generated from computed tomography scans from smokers in the COPDGene Study using the local histogram emphysema quantification method. Genome-wide association studies (GWAS) were performed in 9,614 subjects for five emphysema patterns, and the results were referenced against enhancer and DNase I hypersensitive regions from ENCODE and Roadmap Epigenomics cell lines.

**Measurements and Main Results:** Genome-wide significant associations were identified for seven loci. Two are novel associations (top single-nucleotide polymorphism rs379123 in *MYO1D* and

rs9590614 in *VMA8*) located within genes that function in cell-cell signaling and cell migration, and five are in loci previously associated with chronic obstructive pulmonary disease susceptibility (*HHIP*, *IREB2/CHRNA3*, *CYP2A6/ADCK*, *TGFB2*, and *MMP12*). Five of these seven loci lay within enhancer or DNase I hypersensitivity regions in lung fibroblasts or small airway epithelial cells, respectively. Enhancer enrichment analysis for top GWAS associations (single-nucleotide polymorphisms associated at  $P < 5 \times 10^{-6}$ ) identified multiple cell lines with significant enhancer enrichment among top GWAS loci, including lung fibroblasts.

**Conclusions:** This study demonstrates for the first time genetic associations with distinct patterns of pulmonary emphysema quantified by computed tomography scan. Enhancer regions are significantly enriched among these GWAS results, with pulmonary fibroblasts among the cell types showing the strongest enrichment.

**Keywords:** emphysema; COPD; genetics; gene regulation; spiral computed tomography

Chronic obstructive pulmonary disease (COPD) is a heterogeneous disorder likely to result from multiple underlying disease

processes (1, 2). Chronic airflow obstruction in patients with COPD results from variable combinations of emphysema

and airway disease. Genetic association analysis may be informative for identifying molecular determinants of these different

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## At a Glance Commentary

### Scientific Knowledge on the

**Subject:** Emphysema is a heritable phenotype, and emphysema occurs in distinct pathologic patterns. Previous genome-wide association studies have implicated three loci with emphysema susceptibility.

### What This Study Adds to the

**Field:** Using a novel method of computed tomography emphysema quantification, this study identifies novel genetic loci and established chronic obstructive pulmonary disease susceptibility loci that are associated with distinct emphysema patterns. These loci are located in regulatory functional genomic regions.

disease processes. Emphysema, defined as parenchymal destruction and enlargement of distal airspaces in the absence of fibrosis, can be present in individuals with COPD and in smokers with normal spirometry. Emphysema is partly determined by genetics, with an estimated heritability of approximately 30% (3); and genome-wide association studies (GWAS) have identified genetic determinants associated with COPD susceptibility (4–6), spirometric measures (7–9), and emphysema (10, 11).

Emphysema assessment is resource-intensive and requires lung computed tomography (CT) data that must be processed by visual assessment or semiautomatic emphysema quantification algorithms. Semiautomatic algorithms to assess lung densitometric data can efficiently generate reproducible emphysema phenotypes, and they have been widely used in COPD studies. Threshold-based quantification approaches, such as the percentage of low-attenuation area less than  $-950$  Hounsfield units (%LAA-950), are the current standard (12), but they are limited because they quantify emphysema as a single measure, despite the fact that distinct patterns of emphysema have been well-described based on pathology and CT (13, 14). Novel quantification methods use regional CT information to quantify distinct emphysema types (15, 16). One of these methods, local histogram-based emphysema (LHE)

quantification, has recently been shown to be more strongly associated with COPD-related physiologic and functional measures than %LAA-950 (17). This method analyzes chest CT scans as  $24 \times 24 \text{ mm}^2$  regions of interest (ROIs), classifying each ROI into distinct parenchymal categories and generating continuous measures for each CT that represent the percentage of ROIs classified to each LHE pattern. Details of the LHE approach, comparison with visual assessments, and epidemiologic associations have been previously described (16, 17).

We hypothesized that GWAS of LHE phenotypes would identify genetic determinants associated with distinct patterns of emphysema on lung CT. Using LHE quantification (17) within the COPDGene Study, a large sample of smokers with genome-wide single-nucleotide polymorphism (SNP) and CT scan data, we performed GWAS in non-Hispanic white (NHW) and African American (AA) subjects to identify genetic determinants associated with distinct patterns of CT emphysema. Some of these results have been previously reported as an abstract (18).

## Methods

### Subject Enrollment

COPDGene is a multicenter, longitudinal study designed to investigate the genetic and epidemiologic characteristics of COPD and other smoking-related lung diseases. The design of the study has been reported previously (19). Briefly, 10,192 smokers with a wide range of lung function were recruited into the COPDGene Study from 2007 to 2011. NHW and AA subjects between the ages of 45 and 80 with at least a 10 pack-year smoking history were enrolled. Exclusion criteria included pregnancy, history of other lung diseases except asthma, prior lobectomy or lung volume reduction surgery, active cancer undergoing treatment, or known or suspected lung cancer. Volumetric CT scans of the chest were obtained at full inflation and relaxed exhalation. Spirometry was performed with an NDD Easy-One TM Spirometer (Zurich, Switzerland) in accordance with American Thoracic Society/European Respiratory Society recommendations (20).

### LHE Quantification

Local histogram-based measures of emphysema were generated from all available inspiratory chest CT scans passing quality control review. Details of the development of the local histogram classifier and training method have been previously described (16, 17). The local histogram method divides the lung CT into  $24 \text{ mm}^3$  ROIs and produces six quantitative phenotypes for each scan representing the percentage of the ROI falling into each category. The categories are nonemphysematous (or “normal”) lung, mild centrilobular (emphysema with preservation of overall architecture of the secondary lobule), moderate centrilobular (confluent emphysema with preservation of bronchovascular bundle), severe centrilobular (obliteration of the bronchovascular bundle with preservation of septa), panlobular (complete effacement of secondary lobule), and pleural-based emphysema (emphysema abutting the pleural surface). The first five phenotypes were analyzed by GWAS. The pleural-based pattern, meant to capture paraseptal emphysema, poses unique challenges because of the importance of regional information for optimal classification. Methods to further optimize this measure are under development, and this pattern was not included in the current genetic analysis.

### Genotyping, Quality Control, and Imputation

The genotyping and quality control procedures for COPDGene have been described (5). COPDGene subjects were genotyped using the Illumina Human Omni Express chip (San Diego, CA). After quality control, 645,914 and 701,491 markers remained in the NHW and AA samples, respectively. Genotype imputation was performed using MaCH (21) and minimac (22) using 1,000 Genomes (23) Phase I v3 European (EUR) and cosmopolitan reference panels for the NHW and AA subjects. The number of variants imputed at  $R^2$  greater than 0.3 was 8,117,871 and 14,215,846, respectively. In total, 6,942,916 SNPs present in both NHW and AAs at a minor allele frequency greater than 1% were analyzed. After quality control, genotype data were available for 6,678 NHW and 3,300 AA individuals.

### Genome-Wide Association Analysis

GWAS was performed separately in NHWs and AAs for each of the five analyzed

quantitative LHE phenotypes using linear regression in plink 1.07 (24) adjusting for age, sex, pack-years of cigarette smoking, and principal components of genetic ancestry. Ancestry principal components were calculated separately for NHWs and AAs using EIGENSOFT 2.0 (25), and adjustment was performed for the first five and six principal components in each racial group, respectively. GWAS results among NHWs and AAs were combined via fixed effects metaanalysis using METAL (version 2010-08-01) (26). The genome-wide significance threshold used for these analyses was  $P$  less than  $5 \times 10^{-8}$ .

For significant association signals identified in the analysis of all subjects, genetic association was also tested in moderate to very severe COPD cases only (i.e., subjects with Global Initiative for Chronic Obstructive Lung Disease spirometry grade 2–4 disease). Because some LHE phenotypes have distinctly nonnormal distributions, top results were confirmed by performing ordinal logistic regression with the additively coded SNP as the response, and the emphysema phenotype as an independent predictor, adjusting for these same covariates. As additional confirmation, top genetic associations were tested in two logistic regression analyses in which the response was LHE patterns dichotomized at the 50th or 90th percentile, respectively. These analyses were performed in R 3.0, and fixed effects metaanalysis for these results was performed with the metafor package (27, 28). Local association plots were generated with LocusZoom software using the 1,000 Genomes EUR and AMR reference sample

for linkage disequilibrium calculations for NHWs and AAs, respectively (29). Recombination rates were calculated from HapMap Phase 2 data.

For genome-wide significant loci, conditional genetic association analyses were performed in NHWs and AAs for all SNPs in a 500-kb window around the most significant SNP from metaanalysis. The threshold for significance of a conditional association was a false discovery rate less than 0.05, calculated from the tested SNPs using the QVALUE package (30, 31).

### Enhancer and Promoter Enrichment Analysis in ENCODE and Roadmap Cell Lines

The lead SNPs from each genome-wide significant region were queried against the Haploreg database to identify overlap with epigenetic marks characteristic of enhancer and promoter regions as identified in ENCODE and Roadmap Epigenomics cell lines (32). In addition to the query SNPs, enhancer and promoter regions were identified for all SNPs in linkage disequilibrium (LD) with query SNPs at  $r^2$  greater than 0.8 in 1,000 Genomes Phase 1 data.

To test for global enhancer enrichment in top GWAS hits, an additional query of the Haploreg database was performed separately for each phenotype. For these phenotypes, all SNPs below a threshold of  $P$  less than  $5 \times 10^{-6}$  were queried against the Haploreg database for overlap with known enhancer regions using the same LD threshold of 0.8. The background set of SNPs used for comparison in the enhancer enrichment analysis consisted of all SNPs

in 1,000 Genomes Phase 1 data.  $P$  values for enrichment were calculated using the binomial test as implemented by the Haploreg web application.

## Results

### Subject Characteristics

The characteristics of study subjects are shown in Table 1. In total, 9,743 subjects had complete LHE data available. The mild and moderate centrilobular emphysema patterns were frequently observed in the full study population, whereas the more severe LHE patterns (severe centrilobular and panlobular) were found almost exclusively among only moderate to very severe COPD cases.

### GWAS Results

In total, the GWAS analyses included 9,614 COPD Gene subjects with complete LHE and genetic data. Genome-wide association yielded significant associations for four of the five studied LHE patterns (Table 2). QQ plots did not show systematic inflation in the GWAS test statistics (see Figure E1 in the online supplement), and the lambda values after genetic ancestry adjustment ranged from 1.01 to 1.03. In total, seven distinct genomic loci achieved genome-wide significance with at least one of the LHE quantitative phenotypes. Of these, five are well-established COPD or spirometric loci identified in previous GWAS (the 15q25 region near *IREB2/CHRNA3/CHRNA5* [6], the 4q31 region near *HHIP* [6, 33], the 19q13 region near *CYP2A6/EGLN2/ADCK4* [34], the 11q22 region near *MMP12*

**Table 1.** Characteristics of Study Subjects

	NHW, All Subjects	NHW, Cases Only	AA, All Subjects	AA, Cases Only
N	6,533	2,760	3,210	799
Age	62.1 (8.8)	64.7 (8.2)	54.7 (7.2)	59.0 (8.2)
Sex, % male	52.5	55.9	55.4	54.9
Pack-years	42 (30–58.5)	49.5 (37.8–70.5)	34.5 (22.8–47.0)	38.2 (25.8–51.9)
FEV <sub>1</sub> , % of predicted	73.7 (25.9)	49.8 (18.0)	82.0 (23.8)	52.4 (17.7)
Normal	0.59 (0.30–0.77)	0.30 (0.11–0.59)	0.71 (0.50–0.81)	0.36 (0.14–0.63)
Mild centrilobular	0.24 (0.17–0.34)	0.25 (0.18–0.34)	0.22 (0.16–0.31)	0.28 (0.19–0.36)
Moderate centrilobular	0.07 (0.02–0.22)	0.23 (0.07–0.41)	0.03 (0.01–0.09)	0.17 (0.04–0.37)
Severe centrilobular	0.001 (0.0002–0.01)	0.01 (0.001–0.07)	0.0002 (0–0.002)	0.004 (0.0004–0.04)
Panlobular	0.0001 (0–0.005)	0.005 (0.0001–0.03)	0 (0–0.0002)	0.0005 (0–0.01)
LAA-950	0.03 (0.008–0.09)	0.09 (0.03–0.22)	0.01 (0.004–0.03)	0.05 (0.02–0.16)

*Definition of abbreviations:* AA = African American; LAA-950 = proportion of low-attenuation area below –950 Hounsfield units; NHW = non-Hispanic white. Values are mean (SD) or median (interquartile range).

Cases are defined as Global Initiative for Chronic Obstructive Lung Disease Spirometry Grade 2 or greater.

Emphysema values are proportions of total lung volume for local histogram-based emphysema patterns or proportion of total lung histogram for LAA-950.

**Table 2.** Genome-Wide Significant Associations for Local Histogram Emphysema Phenotypes, All Subjects

LHE Pattern	Lead SNP	Nearest Gene	Locus	Position (BP)	Effect Allele	P Value Meta	NHW (n = 6,456)		AA (n = 3,158)			
							Frequency	Effect (SE)	P Value	Frequency	Effect (SE)	P Value
Normal	rs17486278	CHRNA5	15q25	78867482	A	$8.3 \times 10^{-13}$	0.63	0.03 (0.005)	$5.3 \times 10^{-10}$	0.71	0.02 (0.006)	$2.0 \times 10^{-4}$
	rs138641402	HHIP	4q31	145445779	A	$1.7 \times 10^{-9}$	0.64	-0.03 (0.004)	$1.0 \times 10^{-8}$	0.92	-0.02 (0.01)	0.06
	rs1690789	TGFB2	1q41	218698027	C	$2.9 \times 10^{-8}$	0.51	0.03 (0.004)	$6.6 \times 10^{-9}$	0.89	0.006 (0.01)	0.56
	rs17368659	MMP12	11q22	102742761	G	$1.1 \times 10^{-8}$	0.88	-0.04 (0.007)	$1.8 \times 10^{-7}$	0.97	-0.04 (0.02)	0.02
Moderate centrilobular	rs114205691	CHRNA3	15q25	78901113	C	$3.1 \times 10^{-13}$	0.63	-0.02 (0.003)	$9.4 \times 10^{-11}$	0.82	-0.01 (0.004)	$8.8 \times 10^{-4}$
	rs56113850	CYP2A6	19q13	41353107	T	$1.3 \times 10^{-9}$	0.40	-0.02 (0.004)	$1.2 \times 10^{-6}$	0.56	-0.02 (0.004)	$2.1 \times 10^{-4}$
	rs17368582	MMP12	11q22	102738075	G	$2.7 \times 10^{-9}$	0.88	0.02 (0.004)	$6.6 \times 10^{-9}$	0.97	0.02 (0.01)	0.13
	rs1690789	TGFB2	1q41	218698027	C	$7.9 \times 10^{-9}$	0.51	-0.02 (0.002)	$3.3 \times 10^{-9}$	0.89	-0.005 (0.006)	0.38
Severe centrilobular	rs9788721	AGPHD1	15q25	78802869	T	$1.8 \times 10^{-13}$	0.62	-0.007 (0.001)	$1.3 \times 10^{-12}$	0.62	-0.003 (0.0009)	$1.4 \times 10^{-3}$
	rs379123	MYO1D	17q11	30891814	T	$1.5 \times 10^{-8}$	0.59	-0.005 (0.001)	$1.5 \times 10^{-6}$	0.34	-0.003 (0.0009)	$1.1 \times 10^{-3}$
Panlobular	rs11852372	AGPHD1	15q25	78801394	A	$1.5 \times 10^{-10}$	0.65	-0.003 (0.0006)	$1.1 \times 10^{-7}$	0.83	-0.003 (0.0008)	$2.9 \times 10^{-3}$
	rs9590614	VWA8	13q14	42175588	G	$1.1 \times 10^{-8}$	0.61	-0.002 (0.0006)	$3.3 \times 10^{-4}$	0.83	-0.004 (0.0008)	$1.0 \times 10^{-5}$

*Definition of abbreviations:* AA = African American; BP = base pair location in hg19; Freq = effect allele frequency; LHE = local histogram-based emphysema; NHW = non-Hispanic white; SNP = single-nucleotide polymorphism.

SNPs associated with LHE phenotypes in all subjects at  $P$  less than  $5 \times 10^{-8}$  in metaanalysis in both NHW and AAs. Effect: per allele effect. Phenotype ranges from 0 to 1, with a value of 1 indicating that 100% of computed tomography regions of interest were classified to the pattern of interest.



**Table 3.** Association Evidence for Whole-Population Genome-Wide Significant Regions in COPD Cases (GOLD 2–4) Only

LHE pattern	Lead SNP	Locus	Effect Allele	P Value Meta	Effect Size in NHW (SE)	P Value NHW	Effect Size in AA (SE)	P Value AA
Normal	rs17486278	15q25	A	$5.5 \times 10^{-9}$	0.04 (0.007)	$8.2 \times 10^{-9}$	0.02 (0.01)	0.15
	rs138641402	4q31	A	$1.3 \times 10^{-3}$	-0.03 (0.008)	$1.6 \times 10^{-9}$	-0.02 (0.03)	0.57
	rs1690789	1q41	C	$1.6 \times 10^{-6}$	0.03 (0.007)	$1.2 \times 10^{-6}$	0.01 (0.02)	0.64
	rs17368659	11q22	G	$5.7 \times 10^{-5}$	-0.05 (0.01)	$1.2 \times 10^{-5}$	0.06 (0.05)	0.25
Moderate centrilobular	rs114205691	15q25	C	$1.6 \times 10^{-8}$	-0.03 (0.005)	$9.4 \times 10^{-9}$	-0.01 (0.01)	0.34
	rs56113850	19q13	T	$3.9 \times 10^{-7}$	-0.03 (0.007)	$1.4 \times 10^{-4}$	-0.04 (0.01)	$6.6 \times 10^{-4}$
	rs17368582	11q22	G	$6.3 \times 10^{-5}$	0.03 (0.008)	$2.1 \times 10^{-5}$	-0.02 (0.03)	0.53
	rs1690789	1q41	C	$1.1 \times 10^{-6}$	-0.02 (0.005)	$1.6 \times 10^{-6}$	-0.01 (0.02)	0.40
Severe centrilobular	rs9788721	15q25	T	$2.4 \times 10^{-10}$	-0.01 (0.002)	$1.8 \times 10^{-9}$	-0.007 (0.003)	0.041
	rs379123	17q11	T	$7.0 \times 10^{-9}$	-0.01 (0.002)	$3.1 \times 10^{-7}$	-0.01 (0.003)	$6.7 \times 10^{-3}$
Panlobular	rs11852372	15q25	A	$1.3 \times 10^{-5}$	-0.005 (0.001)	$9.6 \times 10^{-5}$	-0.007 (0.003)	0.026
	rs9590614	13q14	G	$5.2 \times 10^{-6}$	-0.004 (0.001)	$1.9 \times 10^{-3}$	-0.01 (0.003)	$1.8 \times 10^{-5}$

Definition of abbreviations: AA = African American; COPD = chronic obstructive pulmonary disease; GOLD = Global Initiative for Chronic Obstructive Lung Disease; LHE = local histogram-based emphysema; Meta = meta-analysis P value of NHW and AA subjects; NHW = non-Hispanic white; SNP = single-nucleotide polymorphism.

NHW, n = 2,724; AA, n = 786.

LHE association in GOLD 2–4 subjects only for significant SNPs from whole cohort analysis.

Effect: Per allele effect. Phenotype ranges from 0 to 1, with a value of 1 indicating that 100% of computed tomography regions of interest were classified to the pattern of interest.

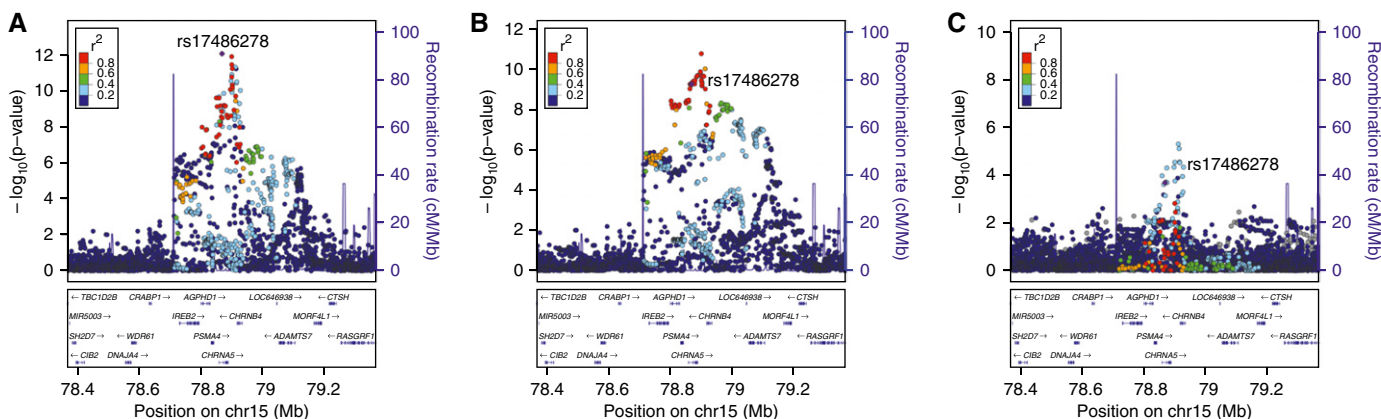
[5], and the 1q41 region near *TGFB2* [5]), and two have not been previously reported. The two novel associations were identified on 13q14 (lead SNP rs9590614) and 17q11 (lead SNP rs379123) for the panlobular and severe centrilobular emphysema patterns, respectively. These novel GWAS peaks are present in the 3' regions of the *VWA8* and *MYO1D* genes, respectively. Of the loci achieving genome-wide significance, five of the seven loci were nominally significant in both the NHWs and AAs. The SNP genotypes with the strongest association signals at each locus were imputed, with the exception of rs379123, which was directly genotyped. At four of the seven loci, the most

strongly associated directly genotyped SNP achieved genome-wide significance, with the strongest directly genotyped P values at the other three loci (1q41, 19q13, and 13q14) ranging from  $5.6 \times 10^{-8}$  to  $7.5 \times 10^{-6}$ .

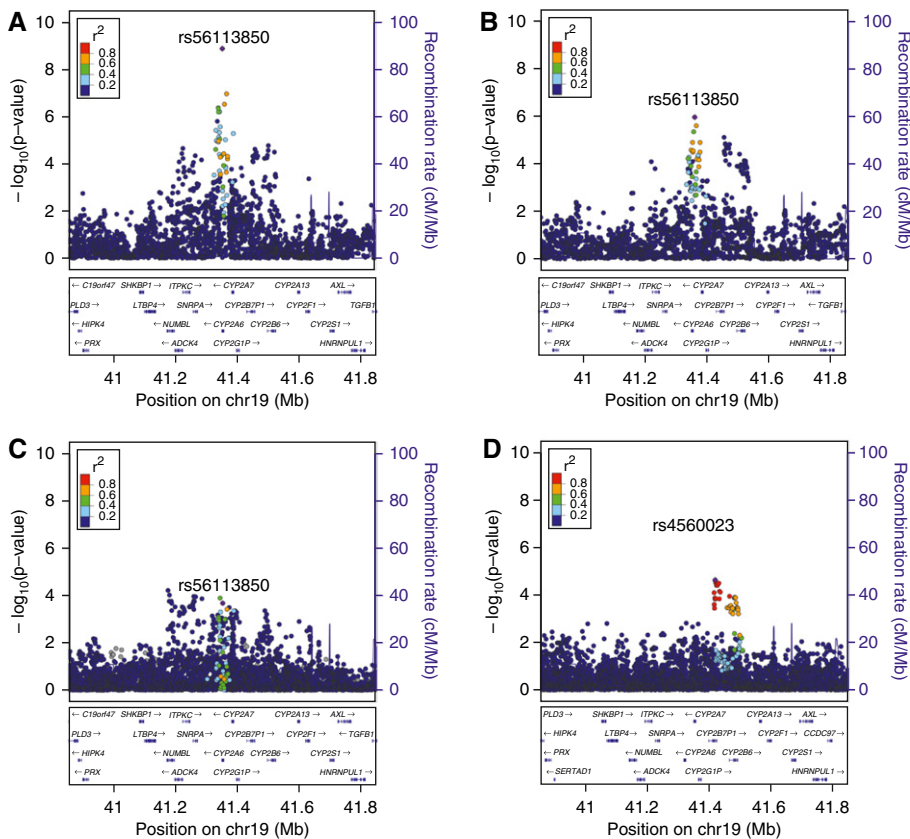
To determine whether these associations were driven by correlation between the presence of COPD affection status and emphysema, we examined only moderate-to-very severe cases (Table 3). In this analysis, the direction of effect was consistent with that seen in the total sample and the magnitude of effect was typically larger than the main analysis for the seven regions showing genome-wide significance. Consistent with resulting smaller sample

sizes, the association P values were generally larger, although the P value did decrease for rs379123. Because some LHE patterns have nonnormal distributions, confirmatory analyses with ordinal logistic regression and logistic regression were also performed, and the results generally confirmed strong associations for these loci (see Tables E1–E4).

The mild centrilobular pattern is significantly associated with spirometric and functional measures in smoking control subjects, as previously reported (17). For this reason, we performed GWAS for this pattern in smoking control subjects to examine whether



**Figure 1.** Normal local histogram-based emphysema pattern local association plots near rs17486278 in the 15q25 locus from (A) metaanalysis of non-Hispanic white and African American subjects, (B) non-Hispanic whites (n = 6,456), and (C) African Americans (n = 3,158).



**Figure 2.** Moderate centrilobular local histogram-based emphysema pattern genome-wide association studies local association plots near rs56113850 in the 19q13 locus in (A) metaanalysis of non-Hispanic white and African American subjects, (B) non-Hispanic whites ( $n = 6,456$ ), and (C) African Americans ( $n = 3,158$ ). Conditional association in non-Hispanic whites conditioning on rs56113850 is shown in D.

a genetic determinant for this pattern is evident in only this group, but no genome-wide significant associations were observed.

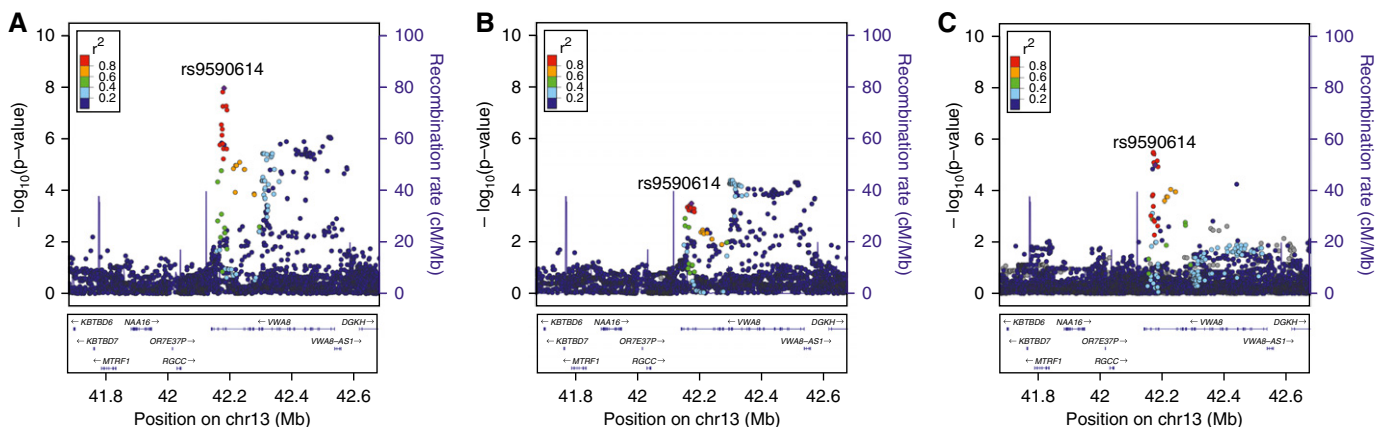
LHE phenotypes quantify distinct patterns of emphysema, but some patterns

are highly correlated (17). To determine the extent to which GWAS of the five different LHE phenotypes provides distinct information, we calculated the Spearman rank correlation for the  $P$  values for all SNPs ( $n = 976$ ) associated with any of the

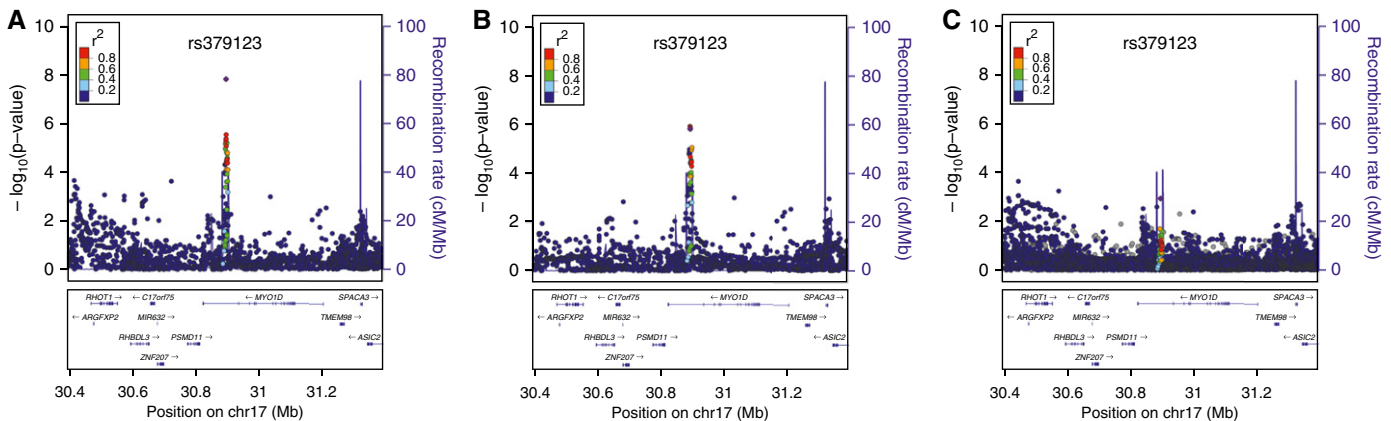
LHE phenotypes at  $P$  less than  $5 \times 10^{-6}$ . This correlation for the top SNP results ranged from  $-0.40$  to  $0.79$  (see Table E5), with the strongest correlation for top GWAS hits observed between the normal emphysema pattern and the moderate centrilobular pattern. The correlation in top results for the severe centrilobular and panlobular patterns was  $0.50$ , indicating partial independence of the GWAS information from these two phenotypes. At a lower  $P$  value threshold of  $5 \times 10^{-7}$ , the correlation between GWAS associations for these two LHE phenotypes was  $0.57$ .

### Fine Mapping Locus-Specific Association Signals in NHWs and AAs

For the seven loci exceeding genome-wide significance in the metaanalysis of NHW and AAs, we examined the plots within each racial group to assess the concordance of GWAS signals and to better localize candidate causal variants. Local association plots suggest a concordant pattern of association in NHWs and AAs for four of the seven regions (15q25, 19q13, and 13q14) and discordant association patterns at the 4q31, 11q22, and 1q41 loci (see Figures E2–E4). For markers at 15q25, 19q13, and 13q14, the association peak was narrower in AA (Figures 1–3). The association peak at 17q11 was narrow in both races and bounded by two closely spaced recombination hotspots (Figures 4B and 4C). To test for allelic heterogeneity, conditional analysis was performed within each racial group, conditioning on the most significant SNP from the metaanalysis. A secondary signal was identified at the 19q13 and 1q41 loci. At 19q13 (Figure 2D), there



**Figure 3.** Panlobular local histogram-based emphysema pattern genome-wide association studies local association plots near rs9590614 in the 13q14 locus in (A) metaanalysis of non-Hispanic white and African American subjects, (B) non-Hispanic whites ( $n = 6,456$ ), and (C) African Americans ( $n = 3,158$ ).



**Figure 4.** Severe centrilobular local histogram-based emphysema pattern genome-wide association studies local association plots near rs379123 in the 17q11 locus in (A) metaanalysis of non-Hispanic white and African American subjects, (B) non-Hispanic whites ( $n = 6,456$ ), and (C) African Americans ( $n = 3,158$ ).

was a clear secondary association peak in NHWs (but not AAs) approximately 100 kb from the top SNP (secondary lead SNP rs4560023;  $P = 2.3 \times 10^{-5}$ ;  $q$  value = 0.02) (Figure 2). A similar pattern was observed at 1q41 (see Figure E4D), with significant primary and secondary signals separated by 57 kb in NHWs but not AAs (secondary lead SNP rs75011710;  $P = 6.2 \times 10^{-5}$ ;  $q$  value = 0.04).

### Epigenetic Marks and DNase I Hypersensitive Regions in Top GWAS Loci

Most GWAS loci for complex diseases influence gene regulation (35–38). To determine the overlap of the seven genome-wide significant LHE loci found in this study with epigenetic marks and DNase I hypersensitive regions in cell lines from the ENCODE and the Epigenomics Roadmap Projects, we queried the Haploreg database for the seven lead GWAS SNPs, including SNPs in LD at an  $r^2$  threshold of 0.8 (32). Overlap of these SNPs with regulatory regions in lung-related cell lines is shown in Table 4, and the results in all cell lines are listed in Tables E6 and E7. Overlap between the most significant SNP (rs379123) for severe centrilobular emphysema with enhancer and DNase I hypersensitive regions is shown in Figure 5. This lead GWAS SNP lies within an annotated enhancer region that also encompasses a DNase I hypersensitive region in small airway epithelial cells.

To determine whether there is significant enrichment of enhancers for particular cell lines among the top LHE GWAS hits, we used the Haploreg web interface to perform enhancer enrichment

analysis for all SNPs associated at  $P$  less than  $5 \times 10^{-6}$  for each of the five LHE phenotypes. Global enrichment for enhancer annotations was observed in at least one cell line for all phenotypes (enrichment  $P < 0.05$ ; see Tables E8 and E9), and the strongest enrichments were observed with the panlobular (Table 5) and severe centrilobular LHE patterns. The strongest enrichment for top panlobular GWAS hits was observed in lung-related cell lines (i.e., pulmonary fibroblasts and fetal lung tissue), whereas the top hits for the severe centrilobular pattern showed the strongest enhancer enrichment in lymphoblastoid cell lines,  $CD4^+$  T cells, and breast myoepithelial cells.

### Genetic Associations with Previously Identified COPD and Emphysema SNPs

We observed strong, but not genome-wide significant, associations for some SNPs previously associated with emphysema or COPD susceptibility in GWAS in other studies (see Tables E10 and E11) including SNPs near *AGER* and *RIN3*. Details are included in the online supplement.

## Discussion

This study demonstrates for the first time genetic associations with distinct CT emphysema patterns based on established pathologic categories of centrilobular and panlobular emphysema. Five of the genome-wide significant loci identified here have been previously established in COPD case-control or lung function GWAS studies, and two markers near the

*VMA8* and *MYO1D* genes represent novel associations. There is strong enrichment of cell-type-specific enhancer regions in these top GWAS results, particularly for lung fibroblasts, indicating that regulation of gene expression in specific lung cell types may be a key functional mechanism for genetic factors influencing emphysema.

Two previous studies have performed GWAS for emphysema phenotypes. Kong and coworkers (10) performed GWAS in 2,383 subjects for visually assessed emphysema and quantitative emphysema (%LAA-950), identifying a genome-wide significant association for visual emphysema in a secondary analysis of severe emphysema (defined as >25% emphysematous involvement). The lead SNP (rs161976) in their analysis was not significantly associated with any of the five LHE phenotypes in our study. Manichaikul and coworkers (11) performed GWAS for %LAA-950 in 7,914 subjects from the MESA Lung/SHARe study, a multiethnic, general population cohort consisting primarily of non-COPD subjects with a median %LAA-950 between 2 and 4%. This study identified genome-wide significant associations between %LAA-950 and variants near *SNRPF* and *PPT2/AGER* that were previously reported to be associated with lung function in the general population. Our findings replicated the association at the *PPT2/AGER* locus and provided suggestive evidence of association near *SNRPF*.

The association with markers in 13q14 with the panlobular LHE pattern is located at the 3' end of the *VMA8* gene. This gene has two validated RefSeq isoforms,

**Table 4.** Enhancer and DNase I Hypersensitive Regions in Lung-related Cell Types in Lead GWAS Loci

Lead GWAS SNP	Variant	Chr	Position (hg19)	LD (r <sup>2</sup> )	Promoter Histone Marks	Enhancer Histone Marks	DNase I Hypersensitivity
rs1690789	rs10047116	1	218638291	0.85		NHLF, IMR90	
	rs623356	1	218647386	0.84		NHLF	
	rs1764705	1	218648556	0.9		NHLF, IMR90	
	rs622912	1	218670357	0.96		NHLF	
	rs143667728	1	218670655	0.94		NHLF	
	rs550238	1	218690948	0.99		LNG.FE	
rs17368659	rs1690789	1	218698027	1		NHLF, LNG.FE, IMR90	NHLF
	rs17361668	11	102720344	0.91		NHLF	NHLF
	rs72981675	11	102721251	0.94		NHLF	
rs9590614	rs72981680	11	102721859	0.94		NHLF	
	rs11840821	13	42162235	0.88		NHLF	
	rs148558790	13	42163581	0.98		NHLF, IMR90	
	rs66700955	13	42169960	0.98		NHLF, IMR90, LNG.FE	
	rs11840816	13	42171361	0.94		NHLF, IMR90, LNG.FE	
	rs9594584	13	42171439	0.94		NHLF, IMR90, LNG.FE	
	rs12584430	13	42171822	0.94		NHLF, IMR90, LNG.FE	
	rs72224690	13	42171972	0.89		NHLF, IMR90, LNG.FE	
	rs12584630	13	42172071	0.94		NHLF, IMR90, LNG.FE	
	rs933010	13	42173406	0.94		IMR90, LNG.FE	
	rs4299049	13	42173787	0.95		IMR90, LNG.FE	
	rs7999090	13	42182493	0.94		NHLF, LNG.FE, IMR90	
	rs12585912	13	42183982	0.94		NHLF, LNG.FE, IMR90	
	rs9594585	13	42184824	0.92		NHLF, LNG.FE, IMR90	
rs17486278	rs55853698	15	78857939	0.92	NHLF, IMR90	LNG.FE	
	rs55781567	15	78857986	0.92	NHLF, IMR90	LNG.FE	
	rs8040868	15	78911181	0.81	NHLF	IMR90	A549
	rs149959208	15	78912710	0.87		IMR90	A549
rs379123	rs225212	17	30896455	0.89			SAEC

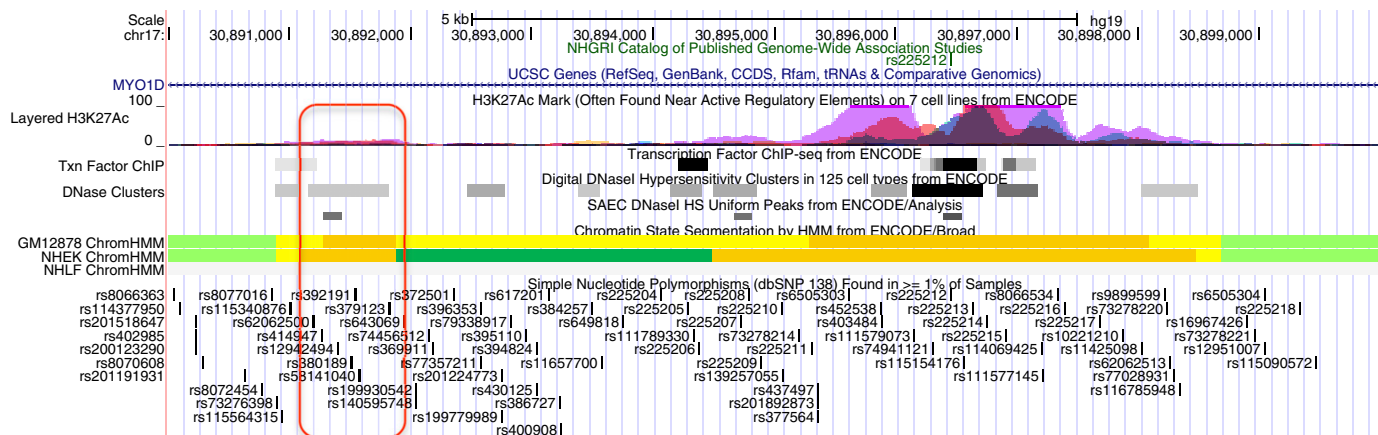
Definition of abbreviations: A549 = lung cancer-derived lung epithelial cell line; Chr = chromosome; GWAS = genome-wide association studies; IMR90 = fetal lung fibroblast; LD (r<sup>2</sup>) = r<sup>2</sup> between listed variant and lead GWAS SNP; LNG.FE = fetal lung; NHLF = normal lung fibroblast; SAEC = small airway epithelial cells; SNP = single-nucleotide polymorphism.

DNase I hypersensitivity and epigenetic marks associated with promoters and enhancer in lung-related cell types from the ENCODE and Roadmap projects.

and the region of strongest signal is located in the longer isoform in a region containing a von Willebrand factor type A conserved protein domain. von Willebrand factor type A domains, originally described in the

von Willebrand factor protein, are present in many other proteins, including integrins and collagens, and have been implicated in multiple cellular functions including cell-cell signaling and cell migration (39).

However, *VWA8* has not previously been implicated in COPD pathogenesis. *VWA8* is expressed in many tissues, including homogenized lung tissue, fetal lung, and bronchial and tracheal epithelium (40).



**Figure 5.** Enhancer and DNase I hypersensitive regions in selected ENCODE and Roadmap cell lines at the 17q11 locus. Red box indicates the lead genome-wide association studies single-nucleotide polymorphism, rs379123, and overlapping enhancer (orange bars) and DNase I hypersensitive regions in multiple cell lines, including small airway epithelial cells (SAEC). There are multiple transcriptionally active candidate regions in the 5' end of the *MYO1D*. Image generated with UCSC Genome Browser.



**Table 5.** Enhancer Enrichment among Top GWAS Signals for Panlobular LHE Pattern

Source	Cell Line ID	Cell Line Description	All Enhancers			Strongest Enhancers		
			Observed	Expected	P Value	Observed	Expected	P Value
ENCODE Roadmap	NHLF	Lung fibroblasts	25	10.8	$8.9 \times 10^{-5}$	17	4.1	$1.0 \times 10^{-6}$
	ADI.NUC	Adipose nuclei	25	13.4	$2.1 \times 10^{-3}$	19	6.4	$2.6 \times 10^{-5}$
	ADI.MSC	Adipose-derived mesenchymal stem cell cultured cells	41	17.4	$<1 \times 10^{-6}$	19	7	$9.0 \times 10^{-5}$
	LNG.FE	Fetal lung	29	11	$2.0 \times 10^{-6}$	12	3.3	$1.5 \times 10^{-4}$
	IMR90	IMR90 cell line	25	13.1	$1.5 \times 10^{-3}$	16	7	$2.0 \times 10^{-3}$

*Definition of abbreviations:* GWAS = genome-wide association studies; LHE = local histogram-based emphysema; SNP = single-nucleotide polymorphism.

Cell lines with enrichment *P* values for all enhancer and strong enhancer less than 0.005 considering all SNPs associated with the Panlobular emphysema pattern and *P* less than  $5 \times 10^{-6}$ .

All enhancers: enrichment measures calculated for all chromosome segmentation states associated with enhancer activity.

Strongest enhancers: enrichment measures calculated only for those chromosome segmentation states annotated as strong enhancers.

*P* value from binomial test comparing the observed count of GWAS SNP-enhancer overlap to the expected count derived from all SNPs in 1,000 Genomes pilot phase.

The novel association between the severe centrilobular LHE pattern and markers at 17q11 locus encompasses the 3' end of *MYO1D*, a class I atypical myosin gene. Class I myosins have been implicated in membrane trafficking and cell motility (41). The GWAS peak in *MYO1D* is narrow and lies within two closely spaced recombination peaks, and the top GWAS SNP (rs379123) lies within an enhancer region that also includes a DNase I hypersensitive region in small airway epithelial cells. *MYO1D* has not been previously related to COPD or emphysema.

Genome-wide significant associations were observed for five genomic regions (15q25 near *IREB2* and *CHRNA3/5*, 4q31 near *HHIP*, 11q22 near *MMP12*, 19q13 near *CYP2A6/ADCK4*, and 1q41 near *TGFBR2*) previously associated with risk of COPD. The presence of these loci among the top signals for LHE phenotypes supports the validity of quantitative LHE phenotypes, and leads to questions about whether these markers are associated with COPD only, emphysema only, or both phenotypes. The 15q25 locus, for example, has shown a complex pattern of phenotypic association with smoking behavior (42, 43), COPD status (6), CT emphysema measures (44), and lung cancer (45, 46). To determine whether these associations (and the two novel associations) were driven solely by correlation of emphysema with COPD status, we performed GWAS in the subset of smokers with Global Initiative for Chronic Obstructive Lung Disease Stage 2–4 COPD, and these results were consistent with their genome-wide

significance in the overall analysis of emphysema patterns.

The COPDGene Study enrolled large numbers of NHW and AA smokers, providing the opportunity for replication across racial groups within the same study. The different LD structure between populations is potentially useful for localization of causal variants, and the GWAS peak in AAs was narrower than in NHWs for three of seven genome-wide significant loci. Interestingly, the associations at 11q22, 19q13, and 1q41 showed different patterns of association across racial groups. For 11q22, the association was very strong in NHWs and weak in AAs, and at the 19q13 and 1q41 regions, NHWs showed a clear secondary association signal, whereas AAs did not. These findings may be caused by lower power in the smaller AA sample, but they may also be caused by allelic heterogeneity both within and across ethnic groups. It is also possible that synthetic association caused by rare genetic variation could play a role in apparent allelic heterogeneity; however, the role of synthetic association as an overall explanation for GWAS associations is likely to be small (47).

Previous studies have implicated genetic control of gene expression as a key functional mechanism for most GWAS associations, and publicly available data from the ENCODE and Roadmap Epigenomics projects provide an unprecedented opportunity to link genetic variation with experimental regulatory data from a wide array of cell lines (32, 35, 37,

48, 49). Integration of LHE GWAS results with ENCODE and Roadmap regulatory data confirms strong enrichment of enhancer regions among our top LHE GWAS loci and points to a role for multiple cell types in the pathogenesis of emphysema, particularly lung fibroblasts. However, this integrative analysis has some important limitations. First, publicly available cell line data are extensive but not comprehensive, and important emphysema-related cell types may not be present in ENCODE and Roadmap data resources. Second, available data on variability of regulatory annotation in specific cell types in various conditions are limited.

In previous work we have shown that LHE patterns are more strongly associated with COPD-related measures of physiology and function than %LAA-950 (17), despite correlation between LHE patterns and %LAA-950 (Spearman rank correlation ranging from 0.30 to 0.96). We now present data indicating that LHE patterns are also strongly associated with both novel and previously established COPD risk variants, providing further evidence that LHE patterns capture information from CT that is physiologically and biologically relevant.

This study has the following strengths and limitations. We analyzed quantitative phenotypes that capture distinct emphysema patterns based on established pathologic categories in a large, biracial study population. Although these LHE patterns are distinct, they are also correlated and some represent different gradations of a single pathologic type of emphysema (i.e., mild, moderate, and

severe centrilobular emphysema). Some patterns shared top GWAS loci extensively (i.e., the normal and moderate centrilobular pattern), and other LHE patterns provided distinct GWAS signals. These results support the hypothesis that genetic determinants of distinct emphysema patterns are, to an extent, nonoverlapping, and they confirm the value of developing novel methods for analyzing quantitative measures from CT that provide more precise phenotypic characterization.

LHE measures are an improvement over standard, threshold-based quantitative emphysema measures (17); however, emphysema quantification methods are likely to continue to improve. In particular, paraseptal emphysema was

not examined in this study because of limitations of the LHE method in detecting this emphysema pattern. The LHE phenotypes did not include information about distribution of each pattern within the lung. In the future such an analysis may provide additional information about the genetic determinants of the distribution of specific LHE patterns. The severe centrilobular and panlobular LHE patterns have heavily skewed distributions, complicating the analysis of these phenotypes by linear regression, and a degree of caution is required in interpreting the significant associations with these phenotypes. However, the genome-wide significant associations with these phenotypes remain highly significant in ordinal and logistic regression analyses.

In summary, GWAS of distinct, quantitative emphysema patterns in NHW and AA smokers in COPDGene identifies five established COPD loci and two novel associations. Both novel associations are located within genes controlling cell-cell signaling and cell motility, and enhancer enrichment analysis suggests control of gene expression is a key functional mechanism for genes associated with emphysema. There is significant enrichment of enhancer and DNase I regions from many cell types among these top emphysema GWAS associations, with pulmonary fibroblasts prominent among the cell types showing the strongest enrichment. ■

**Author disclosures** are available with the text of this article at [www.atsjournals.org](http://www.atsjournals.org).

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